

Docket No. 257970US0X PCT



1AP4 Rec'd PCT/PTO 17 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Walter TOETSCH, et al.

SERIAL NO: 10/511,280

GAU: 1621

FILED: October 22, 2004

EXAMINER: WITHERSPOON, S. A.

FOR: PROCESS FOR THE RHODIUM-CATALYZED HYDROFORMYLATION OF OLEFINS WITH REDUCTION OF RHODIUM LOSSES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

12/12/2005 ATRAN1 00000074 10511280

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180.00 DP

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

257970US0X PCT

SERIAL NO.

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LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Walter TOETSCH, et al.

FILING DATE

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GROUP

1621

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4,528,403	07/09/1985	TANO, et al.			
	AB	4,748,261	05/31/1988	BILLIG, et al.			
	AC	4,769,498	09/06/1988	BILLIG, et al.			
	AD	4,774,361	09/27/1988	MAHER, et al.			
	AE	4,835,299	05/30/1989	MAHER, et al.			
	AF	4,885,401	12/05/1989	BILLIG, et al.			
	AG	5,059,710	10/22/1991	ABATJOGLOU, et al.			
	AH	5,113,022	05/12/1992	ABATJOGLOU, et al.			
	AI	5,179,055	01/12/1993	WINK, et al.			
	AJ	5,202,297	04/13/1993	LORZ, et al.			
	AK	5,260,491	11/09/1993	WINK, et al.			
	AL	5,264,616	11/23/1993	ROEPER, et al.			
	AM	5,288,918	02/22/1994	MAHER, et al.			
	AN	5,360,938	11/01/1994	BABIN, et al.			
	AO	5,710,344	01/20/1998	BREIKSS, et al.			
	AP	5,731,472	03/24/1998	LEUNG, et al.			
	AQ	5,767,321	06/16/1998	BILLIG, et al.			
	AR	6,265,620	07/24/2001	URATA, et al.			
	AS	6,403,837	06/11/2002	HESS, et al.			
	AT	6,440,891	08/27/2002	MAAS, et al.			
	AU	6,500,991	12/31/2002	WIESE, et al.			
	AV	2003/0144559	07/31/2003	HESS, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AW	33 38 340	05/03/1984	Germany (Corr. to US 4,528,403)		
	AX	198 10 794	09/16/1999	Germany (Corr. to US 6,440,891)		
	AY	199 54 510	05/17/2001	Germany (Corr. to US 6,403,837)		
	AZ	199 54 721	05/17/2001	Germany (Corr. to US 2003/0144559)		
	BA	100 48 301	04/11/2002	Germany (Corr. to US 6,500,991)		
	BB	0 149 894	07/31/1985	Europe		
	BC	0 155 508	09/25/1985	Europe		
	BD	0 472 071	02/16/1992	Europe (Corr. to US 5,202,297)		

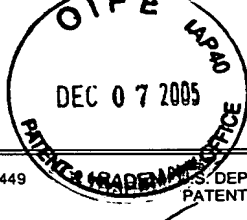
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	BE		<input checked="" type="checkbox"/> Additional References sheet(s) attached
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Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	0 518 241	12/16/1992	Europe		
	AP	63-208540	08/30/1988	Japan (with English Abstract)		
	AQ	63-218640	09/12/1988	Japan (with English Abstract)		
	AR	63-222139	09/16/1988	Japan (with English Abstract)		
	AS	7-82281	03/28/1995	Japan (with English Abstract)		
	AT	9-268152	10/14/1997	Japan (with English Abstract)		
	AU	95/06627	03/09/1995	WIPO		
	AV	97/20795	06/12/1997	WIPO		
	AW	98/43935	08/10/1998	WIPO		

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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	AY	
	AZ	

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